## UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 239969US2S

First Inventor or Application Identifier

Eiji KAMIYA

NON-VOLATILE SEMICONDUCTOR MEMORY DEVICE AND METHOD OF MANUFACTURING THE SAME

Assignee Name:

Assignee A	aaress:			·-	4	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application cont	ents	ADDRESS TO:	Commissioner for Pat Mail Stop Patent Appl Alexandria, Virginia 2	ication	21906	
1. Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)		ACCOM	IPANYING APPLICAT	ION PART	S	
(outsilit ari original and a duplicate for fee processing)		7. Assignme	ent Papers (cover sheet	t & docume	nt(s))	
2. Specification Total Sheets	27	8. Applicatio	n Data Sheet. See 37	CFR 1.76		
			§3.73(b) Statement is an assignee)	□ Powe Attorn		
3. Drawing(s) (35 U.S.C. 113) Total Sheets	5	10. 🗌 English Tı	ranslation Document (ii	f applicable	)	
			on Disclosure t (IDS)/PTO-1449		es of IDS ons (1)	
4.   Oath or Declaration Total Pages		12.   Prelimina	ry Amendment			
a.   Newly executed (original or copy)		13. White Adv	vance Serial No. Postc	ard		
b. Copy from a prior application (37 C.F.R. (for continuation/divisional with box 17 completed)	Copy of Priority Documo cority is claimed)	ent(s) (1)				
<ul> <li>i. DELETION OF INVENTOR(S)         Signed statement attached deleting inventor(s the prior application, see 37 C.F.R. §1.63(d)(2 1.33(b));     </li> </ul>		15.  Applicant See 37 CFR	claims small entity stat	us.		
5.   CD-ROM or CD-R in duplicate, large table or CP Program (Appendix)	omputer	16. Other:	Request for Priority			
Nucleotide and/or Amino Acid Sequence Subm						
a. ☐ Computer Readable Form (CRF)	l	*				
b. Specification or Sequence Listing on :	Ì					
i. ☐ CD-ROM or CD-R (2 copies); or						
ii. 🗌 Paper						
c.   Statements verifying identity of above copi	es				•	
17. If a CONTINUING APPLICATION, check appropriate bo	x, and supply	the requisite informatio	n below:			
□ Continuation □ Divisional □ Cor	tinuation-i	in-part (CIP)	of prior application no.	:		
Prior application information: Examiner:			Group Art Unit	:		
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.						
18. Amend the specification by inserting before the first I	ine the se	ntence:	. ,			
☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)						
of application Serial No. Filed on						
☐ This application claims priority of provisional application Serial No. Filed						
19. CORRESPONDENCE ADDRESS  22850 (703) 413-3000						
FACSIMILE: (703) 413-2220						

Name:	Marvin J. Spivak	Registration No.:	24,913
Signature:	16 mm M Grellal	Date:	7/8/03
Name:	C. Irvin McClelland	Registration No.:	

239969US2S

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NVENTOR(S)

Eiji KAMIYA

SERIAL NO:

**New Application** 

FILING DATE: Herewith

FOR:

NON-VOLATILE SEMICONDUCTOR MEMORY DEVICE AND METHOD OF MANUFACTURING

THE SAME

## FEE TRANSMITTAL

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

FOR	NUMBER FILED		NUMBER EXTRA		RATE		CALCULATIONS	
TOTAL CLAIMS	20 -	20	=	0	х	\$18	=	\$0.00
INDEPENDENT CLAIMS	4 -	3	=	1 .	х	\$84	=	\$84.00
☐ MULTIPLE DEPENDENT CLAIMS (If applicable) + \$280						\$280	=	\$0.00
LATE FILING OF DECLARATION						\$130	=	\$130.00
BASIC FEE							\$750.00	
TOTAL OF ABOVE CALCULATIONS							\$964.00	
☐ REDUCTION BY 50% FOR FILING BY SMALL ENTITY							\$0.00	
☐ FILING IN NON-ENGLISH LANGUAGE				+	\$130	=	\$0.00	
☐ RECORDATION OF ASSIGNMENT				+	\$40	=	\$0.00	
TOTAL						\$964.00		

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A duplicate copy of this sheet is enclosed.

- A check in the amount of \$964.00 to cover the filing fee is enclosed.
- The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

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(OSMMN 05/03)

Marvin J. Spivak

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